Many companies and individuals file a provisional patent application for an invention and then later convert the provisional patent application into a non-provisional patent application. This sheet sets forth the differences between the two forms of patent applications and provides guidance on how to decide between the two.

- <u>A provisional patent application</u>:

 is less costly than a non-provisional patent application.
 - o will not be examined at the U.S. Patent & Trademark Office (USPTO).
 - o does not confer the right to prevent others from making, selling, and using your invention.
 - o buys one year of time; the provisional patent application must be converted to a non-provisional patent application within one year or you lose the early filing date and possibly all patent rights in the invention.

A non-provisional patent application:

- o is much more costly than a provisional patent application.
- o will be examined by a patent examiner at the USPTO.
- if not precluded by prior art (that which has been done before) and if adequately explaining the invention, will mature into a patent that confers the right to prevent others from making, selling, and using your invention.

General basis for the difference in cost between a provisional patent application and a non-provisional patent application:

o A provisional patent application is merely a technical description of the invention. A non-provisional patent application should not only provide a technical description of the invention, but should do so in the context of relevant prior art. Thus, the prior art should be known and studied so that within the nonprovisional patent application the invention is described with reference to how it advances or improves technology. The non-provisional patent application therefore requires the development and the application of a strategy for overcoming a patent examiner at the USPTO.

Situations in which filing a provisional application makes sense:

- o when investment is needed to commercialize the invention;
- o if you have already disclosed the invention to another party;
- o a prior art search has not yet been conducted; and/or
- o additional technical development of the invention is desired.